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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number

10/792,239

Filing Date

3/3/2004

First Named Inventor

Ron L. Hale

Art Unit

Not yet known

Examiner Name

To be assigned

Attorney Docket Number

00040.04CON

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
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Info. Discl. Statement (2 pp.) Form 1440 (3 copies, 8 pages each) Copy of <u>preferences</u> 165 Return Postcard		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C. Stracker, Ph.D., J.D. Telephone (650)-687-3905	Customer Number 37485
Signature		
Date	SEP. 24 2004	

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PATENT  
Attorney's Docket No.: 00040.04CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hale et al.  
Serial No.: 10/792,239  
Filed: 3/3/2004  
Title DELIVERY OF SUMATRIPTAN, FROVATRIPTAN OR  
NARATRIPTAN THROUGH AN INHALATION ROUTE

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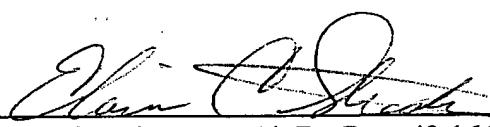
In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines than an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004

  
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<p>Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i></p> <p style="text-align: right;">O I P E C 151 SEP 24 2004 PATENT &amp; TRADEMARK OFFICE</p>	Docket Number: 00040.04 CON		Application Number: 10/792,239
	Applicant: Ron L. HALE et al.		
	Filing Date: 3/3/2004	Group Art Unit: Not Yet Known	

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	05/27/1980	Re. 30,285	Babington			
	3.	01/23/1990	4,895,719	Radhakrishnun et al.			
	4.	09/17/1991	5,049,389	Radhakrishnun			
	5.	02/14/1995	5,388,574	Ingebrethsen			
	6.	08/13/1996	5,544,646	Lloyd et al.			
	7.	12/09/1997	5,694,919	Rubsamen et al.			
	8.	04/07/1998	5,735,263	Rubsamen et al.			
	9.	04/14/1998	5,738,865	Baichwal et al.			
	10.	06/02/1998	5,758,637	Ivri et al.			
	11.	06/16/1998	5,767,117	Moskowitz			
	12.	06/29/1999	5,915,378	Lloyd et al.			
	13.	08/10/1999	5,934,272	Lloyd et al.			
	14.	09/28/1999	5,957,124	Lloyd et al.			
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	24.	01/14/2003	6,506,762	Horvath et al.			
	25.	02/04/2003	6,514,482	Bartus et al.			

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		Applicant: Ron L. HALE et al.			
		Filing Date: 3/3/2004		Group Art Unit: Not Yet Known	

	26.	07/15/2003	6,591,839	Meyer et al.			
	27.	2/13/2003	US2003/0032638	Kim et al.			
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	31.	07/04/2002	US 2002/0086852	Cantor			
	32.	08/22/2002	US 2002/0112723	Schuster et al.			
	33.	11/28/2002	US 2002/0176841	Barker et al.			
	34.	01/02/2003	US 2003/0004142	Prior et al.			

**RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS**

	35.	08/04/2003	10/633,876	Hale et al.			
	36.	08/04/2003	10/633,877	Hale et al.			
	37.	12/30/2003	10/749,537	Rabinowitz et al.			
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	45.	01/28/2004	10/767,115	Rabinowitz et al.			
	46.	01/29/2004	10/768,205	Rabinowitz et al.			
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	52.	01/29/2004	10/769,157	Rabinowitz et al.			
	53.	01/29/2004	10/769,197	Rabinowitz et al.			

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83.	01/23/2003	US 2003/0017114	Rabinowitz et al.			

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	Filing Date: 3/3/2004	Group Art Unit: Not Yet Known	

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES    NO
	113.	03/14/1990	EP 0 358 114	Europe			
	114.	07/03/2001	EP 1 080 720	Europe			
	115.	08/29/2001	EP 0 606 486	Europe			
	116.	04/11/1996	WO 96/09846	WIPO			
	117.	05/09/1996	WO 96/13161	WIPO			
	118.	05/09/1996	WO 96/13290	WIPO			
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	120.	05/09/1996	WO 96/13292	WIPO			
	121.	10/03/1996	WO 96/30068	WIPO			
	122.	08/07/1997	WO 97/27804	WIPO			
	123.	04/23/1998	WO 98/16205	WIPO			
	124.	05/28/1998	WO 98/22170	WIPO			
	125.	07/23/1998	WO 98/31346	WIPO			
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	127.	04/08/1999	WO 99/16419	WIPO			
	128.	12/16/1999	WO 99/64094	WIPO			
	129.	01/06/2000	WO 00/00176	WIPO			
	130.	01/06/2000	WO 00/00215	WIPO			
	131.	05/18/2000	WO 00/27363	WIPO			
	132.	05/25/2000	WO 00/29053	WIPO			
	133.	09/17/2000	WO 00/47203	WIPO			
	134.	11/02/2000	WO 00/64940	WIPO			
	135.	11/09/2000	WO 00/66084	WIPO			
	136.	11/09/2000	WO 00/66206	WIPO			
	137.	12/21/2000	WO 00/76673	WIPO			
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	139.	01/03/2002	WO 02/00198	WIPO			
	140.	03/28/2002	WO 02/24158	WIPO			

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	141.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.
	142.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.
	143.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.
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	148.	Gonda,I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.
	149.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry &amp; Behavior</i> . 36(1):1-7.
	150.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 $\mu$ m," <i>J. Aerosol Sci.</i> 17(5):811-822.
	151.	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.
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		Filing Date: 3/3/2004	Group Art Unit: Not Yet Known

154.	Martin, B.R. and Lue, L.P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.
155.	Mattox, A.J. and Carroll, M.E., (1996). "Smoked heroin self-administration in rhesus monkeys," <i>Psychopharmacology</i> , 125:195-201.
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158.	Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE"
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161.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.
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